



Due Date: October 16, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Mary Faris et al.	Examiner:	Not yet assigned
Serial No.:	09/881,636	Group Art Unit:	1645
Filed:	June 13, 2001	Docket:	G&C 129.12-US-U1
Title:	55P4H4: GENE EXPRESSED IN VARIOUS CANCERS		

**CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on September 19, 2001.

By:   
Name: William J. Wood

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

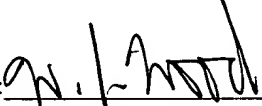
- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- ☒ Signed Combined Declaration and Power of Attorney.
- ☒ Form PTO-1533 – Part 2 – Notice to File Missing Parts of Application.
- ☒ PTO-2038 Credit Card Payment Form for the amount of \$65.00 for the Surcharge Fee is enclosed herewith.
- ☒ Communication in Response to Notice to File Missing Parts of Application regarding Sequence Listing.
- ☒ Computer readable and paper form of SEQUENCE LISTING. **Applicants state that the contents of the paper copy form of the SEQUENCE LISTING section of the present application, and the computer readable form submitted herewith, are the same.**
- ☒ Return postcard.

Please consider this a **PETITION FOR EXTENSION OF TIME** for a sufficient number of months to enter these papers, if appropriate.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

**CUSTOMER NUMBER 22462.**

**GATES & COOPER LLP**  
Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, CA 90045  
(310) 641-8797

By:   
Name: William J. Wood  
Reg. No.: 42,236  
WJW/sjm

(PTO TRANSMITTAL - GENERAL)



#4

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By:

Name: William J. Wood

COMMUNICATION IN RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
APPLICATION REGARDING SEQUENCE LISTING

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the requirements of the Notice to File Missing Parts of Nonprovisional Application dated August 16, 2001, Applicants submit herewith an initial computer readable form (CRF) copy of the sequence listing and an initial paper copy of the sequence listing. Applicants state that the contents of the paper copy form of the sequence listing section of the present application, and the computer readable form submitted herewith, are the same and introduce no new matter. By this amendment, Applicants respectfully request the entry of the sequence listing into the application.

For further information, please contact me at the telephone number indicated.

Respectfully submitted,

GATES & COOPER LLP  
Attorneys for Applicant(s)

Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045  
(310) 642-4144

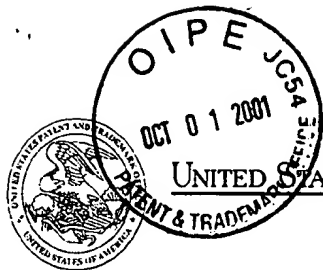
Date: September 19, 2001

WJW/sjm

By: AW. [Signature]

Name: William J. Wood

Reg. No.: 42,236



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AUG 20 2001

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/881,636	06/14/2001	Mary Faris	G&C 129.12-US-U1

CONFIRMATION NO. 7272

## FORMALITIES LETTER



\*OC000000006434248\*

 22462  
 GATES & COOPER LLP  
 HOWARD HUGHES CENTER  
 6701 CENTER DRIVE WEST, SUITE 1050  
 LOS ANGELES, CA 90045

Date Mailed: 08/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/05/2001 BNGUYEN1 00000048 09881636

FILED UNDER 37 CFR 1.53(b)

01 FC:205

65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

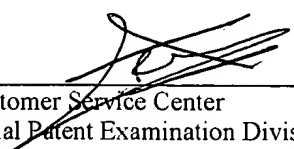
For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600

- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE